

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Stuart E. Pettengill, et al. :  
Serial No. : Unknown :  
Attny No. : 68,170-002 :  
Filed : Herewith :  
Title : DEVICE FOR MAINTAINING POSITION  
OF A PIECE OF JEWELRY

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**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the enclosed Provisional Patent Application, fee and related documents are being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as Express Mail Post Office to Addressee, Mailing Label No. EL 997488844 US and addressed to Mail Stop Provisional Application - Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on October 7, 2003.

  
Dawn Large

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P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are not enclosed.

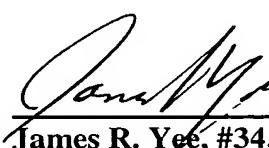
None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789.

Respectfully submitted,

**HOWARD & HOWARD ATTORNEYS, P.C.**

October 7, 2003  
Date

  
James R. Yee, #34,460

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Enclosures:  
PTO-1449

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PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through January 31, 2007. GPO: 2004-090

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|--|---|--------------------------|------------------------------|
| Substitute for form 1449A/PTO  |   | <i>Complete if Known</i> |                              |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   | Application Number       | Unknown                      |
|  |   | Filing Date              | Herewith                     |
|  |   | First Named Inventor     | Stuart E. Pettengill, et al. |
|  |   | Group Art Unit           | Unknown                      |
|  |   | Examiner Name            | Unknown                      |
| Sheet  | 1 | of                       | 1                            |
|  |   | Attorney Docket Number   | 68,170-002                   |

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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